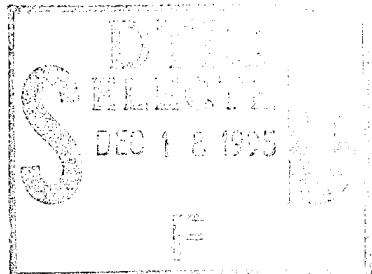




**UNIVERSITY OF MASSACHUSETTS AT AMHERST
POLYMER SCIENCE AND ENGINEERING**

BOX 34530 • ROOM 701 • SILVIO O. CONTE NATIONAL CENTER FOR POLYMER RESEARCH
AMHERST, MA 01003-4530 • (413) 545-0433 • FAX (413) 545-0082



December 11, 1995

Monique Dillon
Department of the Navy
Office of Naval Research
Boston Regional Office
495 Summer Street, Room 103
Boston, MA 02210-2109

19951215 005

Dear Madam:

Enclosed is the final report on Contract No. DAAK60-93-K-00015 as well as Form 298. Our Office of Grants and Contracts Administration will forward Form DD882 "Report of Inventions and Subcontracts after obtaining authorized signatures.

Sincerely,

David A. Tirrell
Barrett Professor and Director
Materials Research Science and Engineering Center

DAT:ls

cc: Defense Technical Information Center
Office of Grants and Contracts Administration

Enclosure

REPORT DOCUMENTATION PAGE			Form Approved OMB No. 0704-0188
<p>Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.</p>			
1. AGENCY USE ONLY (Leave blank)	2. REPORT DATE	3. REPORT TYPE AND DATES COVERED	
	11/30/95	Final - 11/30/95	
4. TITLE AND SUBTITLE Protein-Based Polymers		5. FUNDING NUMBERS DAAK60-93-K-0015	
6. AUTHOR(S) David A. Tirrell			
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) University of Massachusetts Amherst, MA 01003		8. PERFORMING ORGANIZATION REPORT NUMBER N/A	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) US Army Natick Research, Development and Engineering Natick, MA 01760-5011		10. SPONSORING/MONITORING AGENCY REPORT NUMBER N/A	
11. SUPPLEMENTARY NOTES			
12a. DISTRIBUTION/AVAILABILITY STATEMENT Approval for Public Release; Distribution Unlimited		12b. DISTRIBUTION CODE	
<p>13. ABSTRACT (Maximum 200 words)</p> <p>This contract supported synthetic and structural investigations of two classes of protein-based polymers: i) alanylglycine-rich polymers related to the silks and capable of forming well-defined beta-sheets and ii). a-helical polymers of uniform chain length capable of forming liquid crystalline phases.</p>			
14. SUBJECT TERMS alanylglycine-rich polymers proteins		15. NUMBER OF PAGES 15	16. PRICE CODE
17. SECURITY CLASSIFICATION OF REPORT Unlimited	18. SECURITY CLASSIFICATION OF THIS PAGE Unlimited	19. SECURITY CLASSIFICATION OF ABSTRACT Unlimited	20. LIMITATION OF ABSTRACT SAR

FINAL REPORT

Contract No. DAAK60-93-K-0015

Protein-Based Polymers

PRINCIPAL INVESTIGATOR

David A. Tirrell
University of Massachusetts at Amherst

Accession No.	NTIC	Class	NTIC	Type	Classification
By _____					
Distribution:					
Availability:					
Dist	Final	Special			
A-1					

PART I - FIRST QUARTER

OVERVIEW

This contract supports synthetic and structural investigations of two classes of protein-based polymers: i). alanylglcine-rich polymers related to the silks and capable of forming well-defined β -sheets, and ii). α -helical polymers of uniform chain length capable of forming liquid crystalline phases. We have also proposed combining these two kinds of structural domains in polymer chains, with the objective of preparing new " α/β " fibers with unique combinations of tensile and compressive properties.

Bacterial expression of the alanylglcine-rich protein-based polymers of interest has already been achieved in this laboratory¹⁻⁴. In this work, attention will be focused on: i). development of fermentation technology adequate to the production of 100 gram quantities of polymers, ii). chemical modification studies, and iii). structure determination by x-ray, infrared, electron imaging and solid state NMR methods.

We have also recently succeeded in preparing the first monodisperse derivatives of poly(L, α -glutamic acid) (PLGA)⁵ via bacterial expression of the corresponding artificial genes. Circular dichroism shows these polymers to be helical in aqueous solution, and we have developed methods for their quantitative conversion to poly(γ -benzyl-L-glutamate) (PBLG), an important rod-like polymer capable of forming lyotropic liquid crystal phases. Monodisperse PBLGs promise to provide routes to novel lyotropic phases, well-ordered surface layers, and hybrid proteins useful in the fabrication of biosensors.

But these developments have been delayed by the fact that our PLGA expressions have been frustratingly inefficient, typically yielding ca. 5 mg of protein per liter of

fermentation medium in batch *E. coli* cultures. We have proposed two approaches to the problem of producing practical quantities of α -helical proteins: i). optimization of our fed-batch fermentation technology, and ii). exploration of alternative α -helical sequences. Progress along these lines will be discussed below.

RESULTS

Fermentation Methods. An *E. coli* strain harboring a pET-derived⁶ plasmid encoding a 240-amino acid variant of poly(alanyl glycine) was grown under the following conditions:

A 5ml test tube charged with 5 mL of 2xYT medium was inoculated from a single colony. The culture was incubated in a rotary shaker for 6 hours and used to inoculate a 100ml 2xYT culture flask. This culture was incubated for several hours in an environmental shaker, the cells were centrifuged at 4,000 rpm for 10 minutes, and the resulting pellet was used to inoculate two liters of the minimal medium of Riesenburg et al.⁷ This culture was grown in a 3 liter Braun Biostat E until all of the glucose was depleted. A periodic glucose feed (50% w/v glucose/water) was then established using a peristaltic pump and a timer (final volume 2.2 L), and pure O₂ was used to ensure aerobic conditions. Induction was achieved using 0.8 mM IPTG when an optical density of 25-30 was reached. Cultures were allowed to accumulate target protein for 4 hours before the cells were harvested by centrifugation at 4,000 rpm for 15 minutes.

This method yielded 1.2 g/L of purified poly(alanyl glycine). Adaptation of these conditions to a 37 L scale afforded more than 12 grams of polymer in a single run. This material has now been submitted to the processing group for fiber spinning studies.

Construction of Novel Helical Polymers. Three new DNA sequences were designed to encode novel helical polymers related to poly(α ,L-glutamic acid). Figures 1-3 show the coding sequences, with the restriction sites used for cloning and multimerization. The DNA shown in Figure 1 has been prepared, purified, and cloned in pUC18. Sequencing is in progress.

^{5'} G ATC CAT ATG GAA GAC GAC GAT GAT/C GAC
^{3'} BamHI GTA TAC CTT CTG 1) 2) 3) 4)

 GAT/C GAC GAC GAT/C GAT GAC GAC GAT/C
 5) 6) 7) 8) 9) 10) 11) 12)
BbsI
 GAT GAC GAT/C GAT GAA GAC GAC GAT
 13) 14) 15) 16) 17) 18)
 TAA ATG CTC GAG G ^{3'}
GAG CTC CCT AG ^{5'}
ApaI BamHI

Figure 1. DNA monomer encoding (*Asp₁₆GluAsp*). Restriction sites are underlined.

^{5'} G ATC CAT ATG GAA GAC GAA GAA GAT/A GAC
^{3'} BamHI GTA TAC CTT CTG 1) 2) 3) 4)

 GAA/C GAA GAA/T GAC GAT/G GAC GAA/C
 5) 6) 7) 8) 9) 10) 11)
BbsI
 GAG GAA GAT GAC/G GAG GAA GAC
 12) 13) 14) 15) 16) 17) 18)
GAA GAA TAA ATG CTC GAG G ^{3'}
GAG CTC CCT AG ^{5'}
ApaI BamHI

Figure 2. Monomer encoding copoly(α , L -aspartic acid/ α , L -glutamic acid) where Asp and Glu residues are on opposed sides of the helix with modifications at the mixed sites. Restriction sites are underlined.

^{5'} G ATC CAT ATG GAA GAC GAC GAT GAG GAA/C
^{3'} BamHI GTA TAC CTT CTG 1) 2) 3) 4)

 GAA GAG GAA/C GAA GAG GAA/C GAA
 5) 6) 7) 8) 9) 10) 11)
BbsI
 GAG GAA/C GAA GAG GAA/C GAA GAC
 12) 13) 14) 15) 16) 17) 18)
GAC GAT TAA ATG CTC GAG G ^{3'}
GAG CTC CCT AG ^{5'}
ApaI BamHI

Figure 3. Monomer encoding (*Asp₂Glu[Glu or Asp] (Glu₂[Glu or Asp])₄GluAsp*). Restriction sites are underlined.

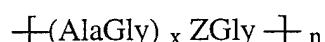
References

- (1) McGrath, K. P.; Fournier, M. J.; Mason, T. L.; Tirrell, D. A. *J. Am. Chem. Soc.* 1992, 114, 727.
- (2) Creel, H. S.; Fournier, M. J.; Mason, T.L.; Tirrell, D. A. *Macromolecules* 1991, 24, 1213.
- (3) Beavis, R. C.; Chait, B. T.; Creel, H. S.; Fournier, M. J.; Mason, T. L.; Tirrell, D. A. *J. Am. Chem. Soc.* 1992, 114, 7584.
- (4) Dougherty, M. J.; Kothakota, S.; Mason, T.L.; Tirrell, D. A.; Fournier, M. J. *Macromolecules* 1993, 26, 1776.
- (5) Zhang, G.; Fournier, M. J.; Mason, T.L.; Tirrell, D. A. *Macromolecules* 1992, 25, 3601.
- (6) Studier, F. W.; Rosenberg, A. H.; Dunn, J. J. *Methods Enzymol.* 1990, 185, 60.
- (7) Riesenburg, D.; Schultz, V.; Knorre, W.A.; Pohl, H.-D.; Korz, D.; Sanders, E.A.; Rob, A.; Deckwer, W.-D. *J. Biotechnol.* 1991, 20, 17.

PART II - SECOND QUARTER

OVERVIEW

An important objective of this work is a definition of the effects of periodic sequence variations on the structural, morphological and mechanical properties of protein-based materials. We have prepared artificial genes encoding the set of polymers represented in sequence **1**, and we have had good success in expressing those in which the sequence insertion (Z) is Ala, ProGlu¹⁻³, Glu, Asp, Val, Met⁴, Leu, Ser, Asn, Thr, Phe, Tyr, or selenomethionine⁴.



1

We have now initiated a broadly based investigation of the processing, structures and properties of this class of materials. In this quarter, we have explored the solid state structures of polymers of sequence **1** in which Z = Ala (**1a**), Asn (**1b**), Glu (**1c**) and Leu (**1d**) and x=3. These amino acid residues appear at intervals in the sequence of silk fibroins⁵, yet the structural and mechanical consequences of these sequence insertions are unknown. This set of polymers allows us to examine the effects of polar (Asn), nonpolar (Ala, Leu) and ionizable (Glu) sequence insertions on the structural and mechanical properties of protein-based polymers.

RESULTS

Each of the polymers 1a-d can be crystallized from formic acid in the form of antiparallel β -sheets, as shown by strong Amide I absorptions at ca. 1628 cm^{-1} and 1701 cm^{-1} . The unit cell structures are orthorhombic, with similar a and c dimensions of 9.44 and 6.95\AA , respectively (Figure 1). On the other hand, the b dimensions of the unit cells depend on the identity of the Z residue inserted at intervals of 8 amino acids (Table I). Figure 2 shows that the intersheet spacing correlates nicely with the cube root of the volume of residue Z, suggesting that fine-tuning of the crystal structure should be possible in engineered polypeptides.

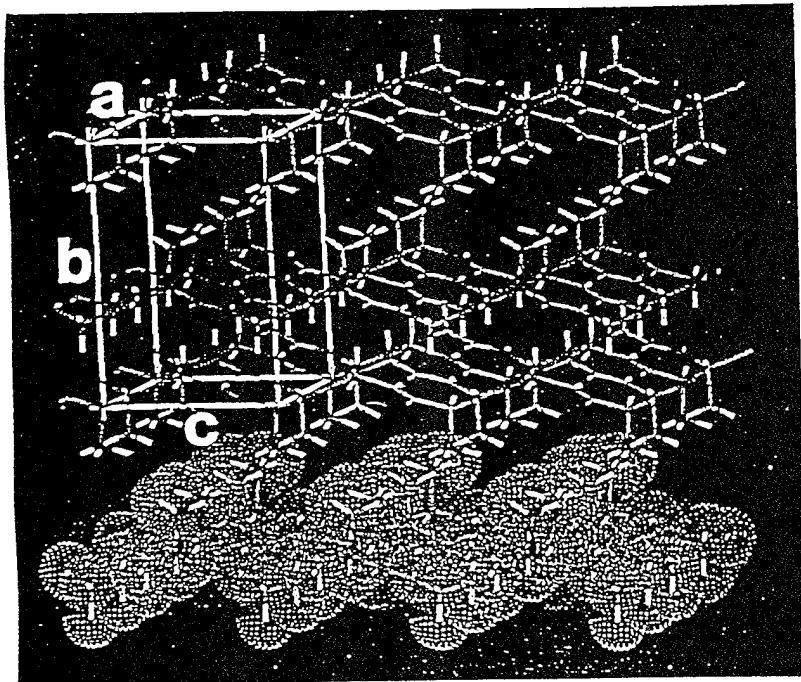


Figure 1. Computer-generated representation of the solid-state structure of 1a, as determined by vibrational and NMR spectroscopy and x-ray diffraction. The model comprises folded β -sheets stacked along the b -axis (vertical) of the orthorhombic unit cell. The chain (c)-axis lies horizontally, and the hydrogen-bonding (a) direction extends perpendicular to the plane of the page. A "polar" arrangement of sheets is proposed, with alanyl methyl groups juxtaposed between the top two sheets, and glycyl protons sandwiched between the middle sheets. At bottom, a space-filling representation showing the volume requirements of a portion of a single folded sheet.

Table 1
Intersheet Spacings for Engineered Polypeptides
of Sequence $\text{--}(\text{AG})_3 \text{ ZG --}_x$

Z	Residue Volume (\AA^3)	Intersheet Spacing (\AA)
Ala	91.5	4.44
Asn	135.2	4.87
Glu	155.1	5.30
Leu	167.9	5.22

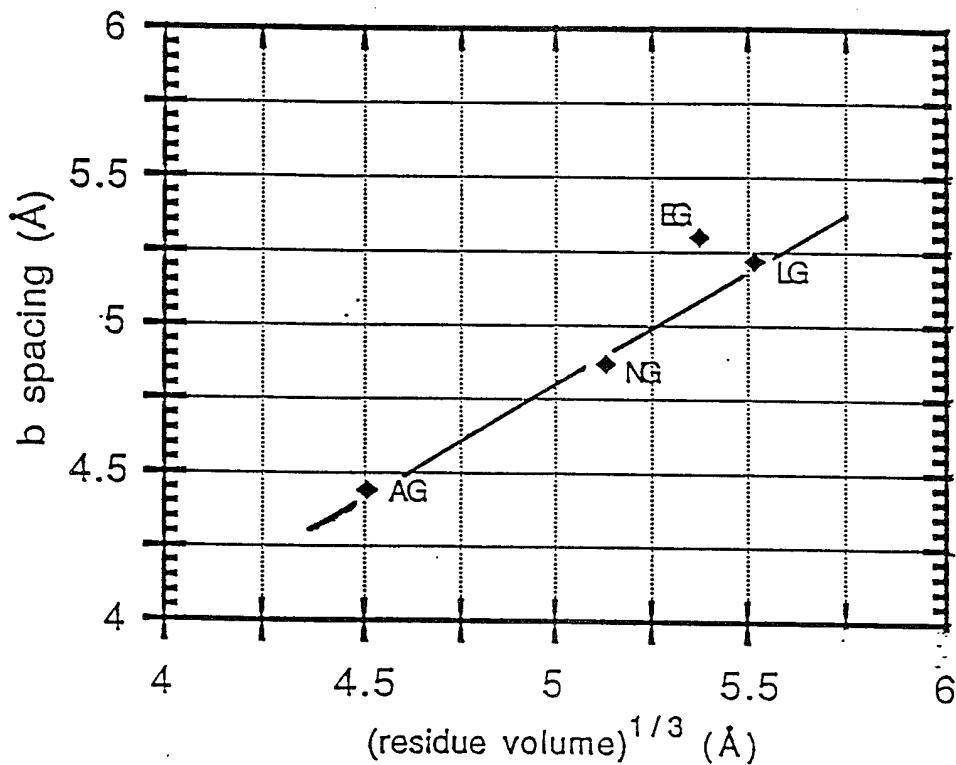


Figure 2. Fine-Tuning of the Crystal Structure of Periodic Polypeptides
 $\text{--}(\text{AG})_3 \text{ ZG --}_x$

References

- (1) McGrath, K. P.; Fournier, M. J.; Mason, T. L.; Tirrell, D. A. *J. Am. Chem. Soc.* 1992, 114, 727.
- (2) Creel, H. S.; Fournier, M. J.; Mason, T.L.; Tirrell, D. A. *Macromolecules* 1991, 24, 1213.
- (3) Beavis, R. C.; Chait, B. T.; Creel, H. S.; Fournier, M. J.; Mason, T. L.; Tirrell, D. A. *J. Am. Chem. Soc.* 1992, 114, 7584.
- (4) Dougherty, M. J.; Kothakota, S.; Mason, T.L.; Tirrell, D. A.; Fournier, M. J. *Macromolecules* 1993, 26, 1776.
- (5) Kaplan, D. L.; Fossey, S.; Viney, C.; Muller, W. *Mat. Res. Soc. Symp. Proc.* 1992, 255, 19.

PART III - THIRD QUARTER

OVERVIEW

This contract supports synthetic and structural investigations of two classes of protein-based polymers: i). alanylglcine-rich polymers related to the silks and capable of forming well-defined β -sheets, and ii). α -helical polymers of uniform chain length capable of forming liquid crystalline phases. We have also proposed combining these two kinds of structural domains in polymer chains, with the objective of preparing new " α/β " fibers with unique combinations of tensile and compressive properties.

Bacterial expression of the alanylglcine-rich protein-based polymers of interest has already been achieved in this laboratory¹⁻⁴. In this work, attention will be focused on: i). development of fermentation technology adequate to the production of 100 gram quantities of polymers, ii). chemical modification studies, and iii). structure determination by x-ray, infrared, electron imaging and solid state NMR methods.

We have also recently succeeded in preparing the first monodisperse derivatives of poly(L, α -glutamic acid) (PLGA)⁵ via bacterial expression of the corresponding artificial genes. Circular dichroism shows these polymers to be helical in aqueous solution, and we

have developed methods for their quantitative conversion to poly(γ -benzyl-L-glutamate) (PBLG), an important rod-like polymer capable of forming lyotropic liquid crystal phases. Monodisperse PBLGs promise to provide routes to novel lyotropic phases, well-ordered surface layers, and hybrid proteins useful in the fabrication of biosensors.

RESULTS

Fermentation Methods. In the first quarter of this year, we met our Phase I target for scale-up to 10-gram batches of alanylglycine-based protein polymers. Since that time we have continued to develop methods for fed-batch fermentations at high cell densities, aiming ultimately at our Phase 3 objective of production on a 100-gram scale. In particular, we have implemented a simple modification of the fed-batch procedure, in which the carbon source is fed under control of the pH-control loop. The rationale for this procedure, which was developed by Ms. Alyssa Panitch of this laboratory, is the rise in pH (due to consumption of acetate) which occurs under conditions of glucose depletion. By feeding a 50% (w/v) solution of glucose through the "acid pump" of the fermentor, we have been able to obtain per-cell yields of protein in 35 L batches which are comparable to those obtained previously for much smaller batches. For example, at a density of 47.3 grams of wet cells per liter, we have obtained 1.2 grams of purified protein per liter. Although we have not yet worked up a full 40 L batch of polymer, we are confident that we can meet our 50 gram target for Phase 2 of this program.

Construction of Novel Helical Polymers. In a previous report, we described the design and synthesis of three families of DNA sequences encoding variants of poly(aspartic acid). In the third quarter, we have isolated and confirmed the coding sequence shown in Figure 1, along with a short linker (Figure 2) required for cloning and expression of this sequence. The DNA "monomer" in Figure 1 has been self-ligated to produce a population of multimers, ranging in size up to the "decamer," i.e., the variant shown in Figure 1 with $n=10$. Expression experiments are planned for the coming weeks.

5' G ATC CAT ATG GAA GAC GAC GAT GAC GAC
 3' BamHI GTA TAC CTT CTG 1) 2) 3) 4)
 GAT GAC GAC GAC 5) 6) 7) 8) 9) 10) 11) 12)
 GAT GAC GAT GAT GAA GAC GAC GAT
 13) 14) 15) 16) 17) 18)
 TAA ATG CTC GAG G 3'
 GAG CTC CCT AG 5'
AvaI BamHI

Figure 1. DNA monomer sequence confirmed for $-(Asp16GluAsp)_n-$. Restriction sites are underlined.

5' BamHI G ATC CAT ATG GAA GAC GAC GAT
 3' GTA TAC CTT CTG CTG CTA
 TAA ATG CTC GAG G 3'
 ATT TAC GAG CTC CCT AG 5'
AvaI BamHI

Figure 2. Linker inserted into the BamHI site of pUC18 to facilitate cloning and expression of DNA monomer shown in Figure 1. Restriction sites are underlined.

References

- (1) McGrath, K. P.; Fournier, M. J.; Mason, T. L.; Tirrell, D. A. *J. Am. Chem. Soc.* **1992**, *114*, 727.
- (2) Creel, H. S.; Fournier, M. J.; Mason, T.L.; Tirrell, D. A. *Macromolecules* **1991**, *24*, 1213.
- (3) Beavis, R. C.; Chait, B. T.; Creel, H. S.; Fournier, M. J.; Mason, T. L.; Tirrell, D. A. *J. Am. Chem. Soc.* **1992**, *114*, 7584.
- (4) Dougherty, M. J.; Kothakota, S.; Mason, T.L.; Tirrell, D. A.; Fournier, M. J. *Macromolecules* **1993**, *26*, 1776.
- (5) Zhang, G.; Fournier, M. J.; Mason, T.L.; Tirrell, D. A. *Macromolecules* **1992**, *25*, 3601.
- (6) Studier, F. W.; Rosenberg, A. H.; Dunn, J. J. *Methods Enzymol.* **1990**, *185*, 60.
- (7) Riesenborg, D.; Schultz, V.; Knorre, W.A.; Pohl, H.-D.; Korz, D.; Sanders, E.A.; Rob, A.; Deckwer, W.-D. *J. Biotechnol.* **1991**, *20*, 17.

PART IV - FOURTH QUARTER

OVERVIEW

This contract supports synthetic and structural investigations of two classes of protein-based polymers: i). alanylglucine-rich polymers related to the silks and capable of forming well-defined β -sheets, and ii). α -helical polymers of uniform chain length capable of forming liquid crystalline phases. We have also proposed combining these two kinds of structural domains in polymer chains, with the objective of preparing new " α/β " fibers with unique combinations of tensile and compressive properties.

Bacterial expression of the alanylglucine-rich protein-based polymers of interest has already been achieved in this laboratory¹⁻⁴. In this work, attention will be focused on: i). development of fermentation technology adequate to the production of 100 gram quantities of polymers, ii). chemical modification studies, and iii). structure determination by x-ray, infrared, electron imaging and solid state NMR methods.

We have also recently succeeded in preparing the first monodisperse derivatives of poly(L, α -glutamic acid) (PLGA)⁵ via bacterial expression of the corresponding artificial

have developed methods for their quantitative conversion to poly(γ -benzyl-L-glutamate) (PBLG), an important rod-like polymer capable of forming lyotropic liquid crystal phases. Monodisperse PBLGs promise to provide routes to novel lyotropic phases, well-ordered surface layers, and hybrid proteins useful in the fabrication of biosensors.

RESULTS

Alanylglcine-Rich Polypeptides. We have already met our Phase 1 target of scale-up of poly(alanylglcine) to a batch size of 10g. In the fourth quarter of Year 1, we have begun to address scale-up of other alanylglcine-rich polypeptides, with a focus on those containing glutamic acid (1a, Z=Glu) or tyrosine (1b, Z=Tyr). Interest in 1a arises from the well-defined solid-state structure of this polymer⁵ and from the opportunities

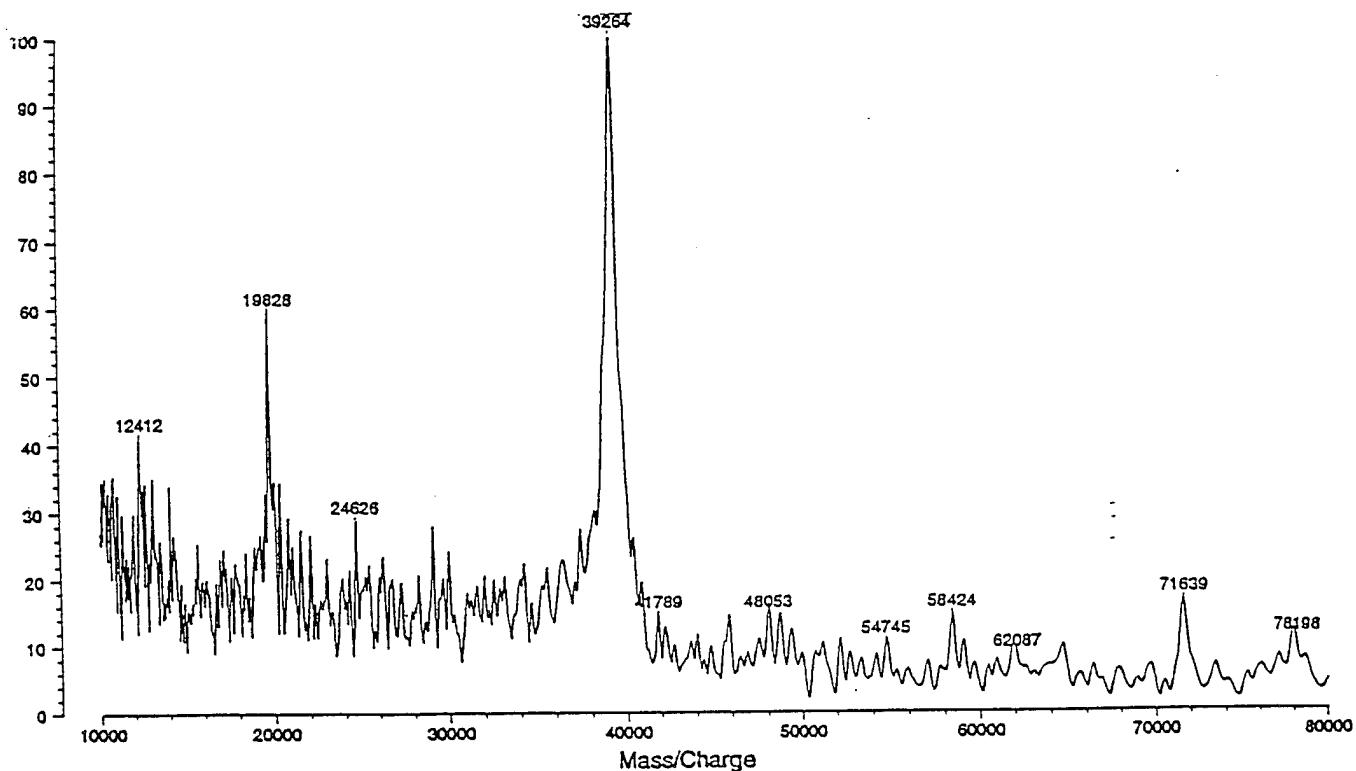
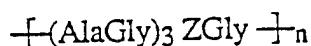


Figure 1. MALDI mass spectrum of alanylglcine-rich polypeptide 1b.

for chemical modification presented by the glutamic acid side chains. In the first quarter of Year 2, attention will be directed toward the synthesis of alkylated variants of 1a and to the phase behavior and surface properties of such variants. Polymer 1b has recently been expressed in high yield in *E. coli*, for use in our continuing structural studies of polymers of sequence 1. Figure 1 shows a matrix-assisted laser desorption-ionization (MALDI) mass spectrum of 1b.



1

Helical Polypeptides. Emphasis in the fourth quarter of Year 1 has been directed toward bacterial expression of a polypeptide consisting of three repeats of sequence 2. Construction of DNA multimers encoding variable numbers of repeats of 2, was discussed in our previous report. The DNA "trimer" has now been cloned in the expression vector pQE15, and the structure of the recombinant plasmid has been confirmed. Preliminary expression experiments are underway.



2

References

- (1) McGrath, K. P.; Fournier, M. J.; Mason, T. L.; Tirrell, D. A. *J. Am. Chem. Soc.* 1992, 114, 727.
- (2) Creel, H. S.; Fournier, M. J.; Mason, T.L.; Tirrell, D. A. *Macromolecules* 1991, 24, 1213.
- (3) Beavis, R. C.; Chait, B. T.; Creel, H. S.; Fournier, M. J.; Mason, T. L.; Tirrell, D. A. *J. Am. Chem. Soc.* 1992, 114, 7584.
- (4) Dougherty, M. J.; Kothakota, S.; Mason, T.L.; Tirrell, D. A.; Fournier, M. J. *Macromolecules* 1993, 26, 1776.
- (5) Krejchi, M. T.; Atkins, E. D. T.; Waddon, A. J.; Fournier, M. J.; Mason, T. L.; Tirrell, D. A. *Science* 1994, 265, 1427.